

# Introduction to Scanning Electron Microscopy, SEM

A. Danilewsky

- Principle of the Scanning Electron Microscope
- Introduction to qualitative elemental analysis  
EDX
- Determination of Orientation using  
"Electron **B**ack **S**catter **D**iffraction, EBSD"



# Literature

- L. Reimer, G. Pfefferkorn: "Rasterelektronenmikroskopie"  
Springer 1977
- **Flegler, Heckmann, Klomparens, Elektronenmikroskopie,  
Spektrum Akademischer Verlag Berlin und Heidelberg,  
1993**
- H. R. Wenk (Ed.): "Electron Microscopy in Mineralogy",  
Springer Verlag 1976
- L. Reimer: "Scanning Electron Microscopy", Springer 1983
- L. Reimer: "Elektronenmikroskopische Untersuchungs- und  
Präparationsmethoden", Springer 1967

# Literature (continued)

- Joy et al.: "Electron Channeling Pattern in the Scanning Electron Microscope",  
J. Appl. Phys. Vol 53, No 8 (1982) 439 - 461
- U. Holzhäuser: "Charakterisierung von Einkristallen mittels Electron Channeling Pattern",  
Diplomarbeit Universität Freiburg 1992
- Humphreys, "Reviw: Grain and subgrain characterisation by electron backscatter diffraction, J. of Mat. Sci. 36 (2001), 3833
- Schmidt, Peter Fritz: "Praxis der Rasterelektronenmikroskopie und Mikrobereichsanalyse ", Fritz Schmidt - Renningen-Malmsheim : Expert-Verlag, 1994 (Kontakt & Studium ; 444 Meßtechnik)

# Useful Links

## **Alexander Fels:**

"Grundlagen der Raster-Elektronenmikroskopie"

<http://www.reclot.de>

## **Dr Geoff Lloyd:**

"SEM Electron Backscattered Diffraction"  
der "RMS spring school 2002, Leeds"

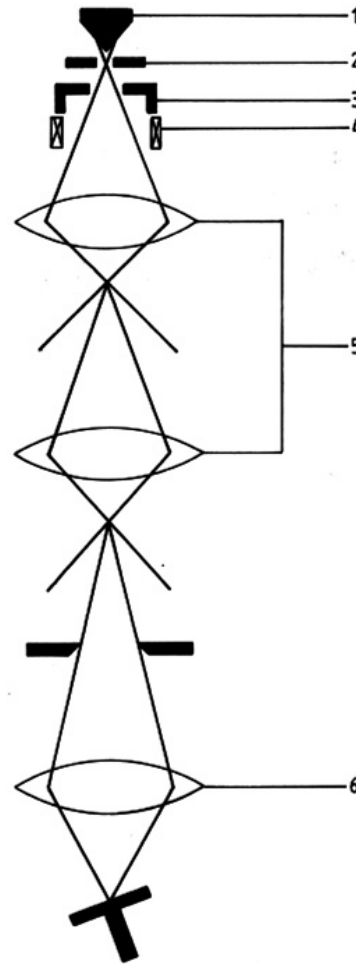
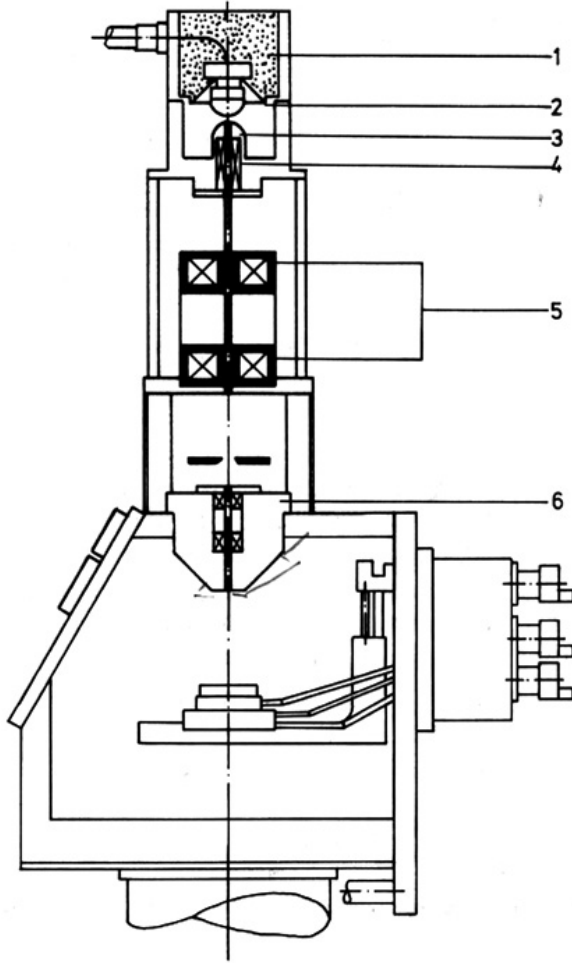
<http://www.see.leeds.ac.uk/research/igt/people/lloyd/>

## **Kurt Helming:**

"Crash Kurs Textur"

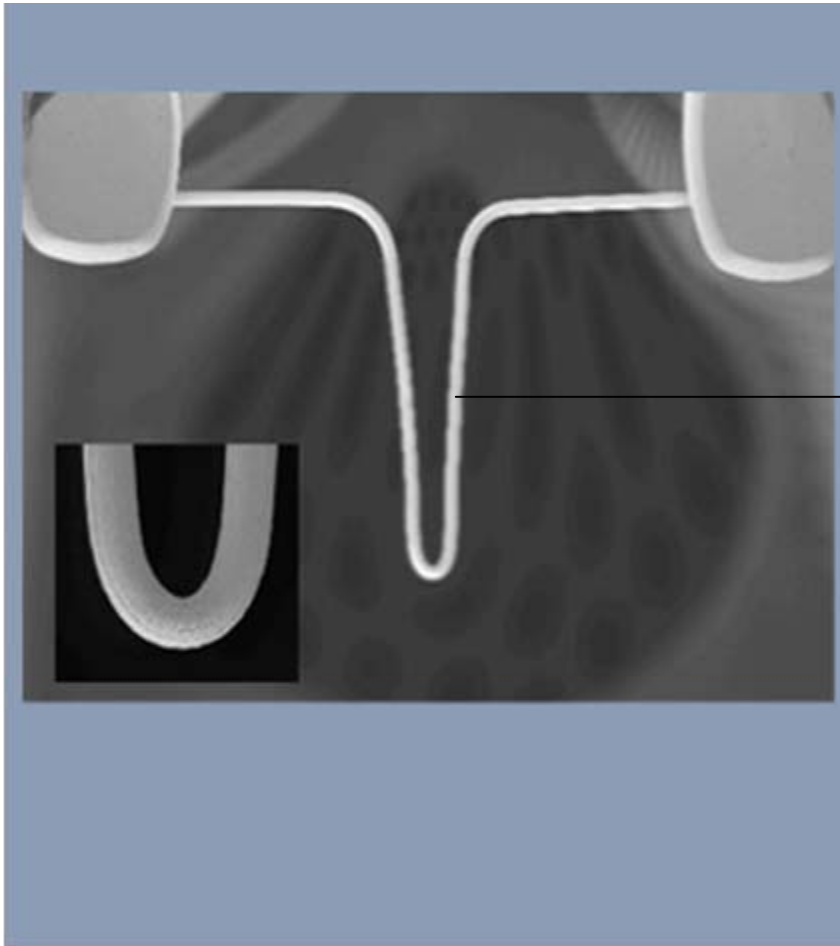
<http://www.texture.de/Multex-Dateien/crash.htm>

# Configuration of the Scanning Electron Microscope and Path of Rays



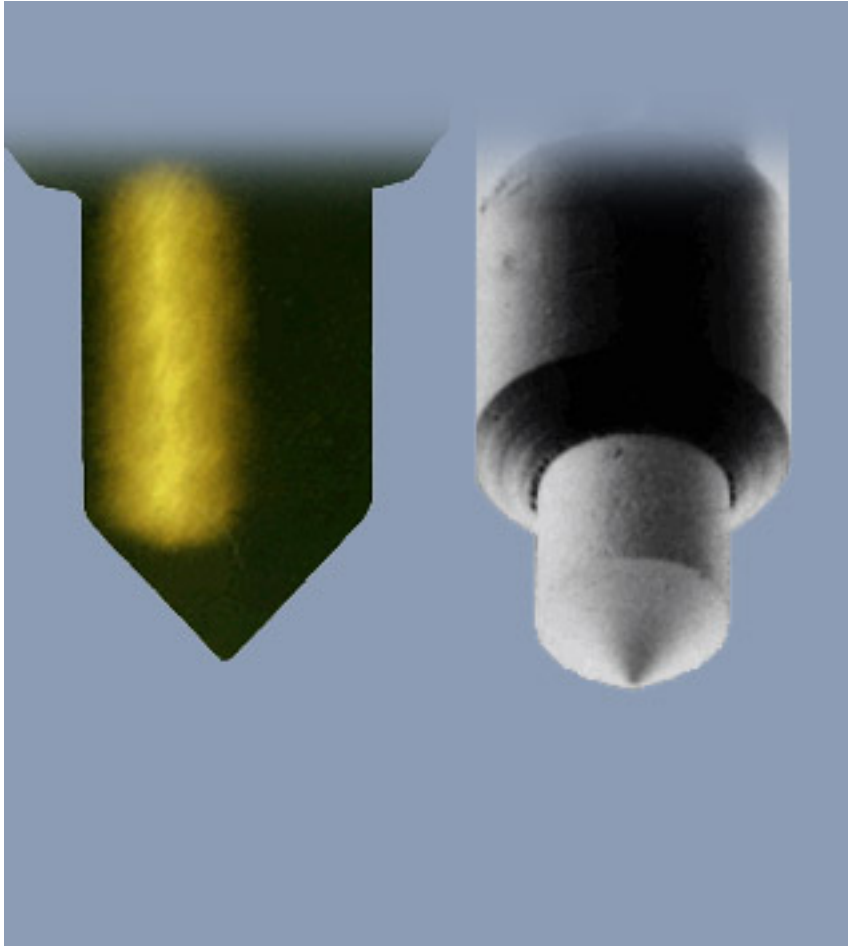
- (1) Cathode head
- (2) Wehnelt cylinder
- (3) Anode
- (4) Beam adjustment by coils
- (5) Condenser lenses
- (6) Objective lens

# Generation of Electrones: Thermionic Electron Gun



cathode

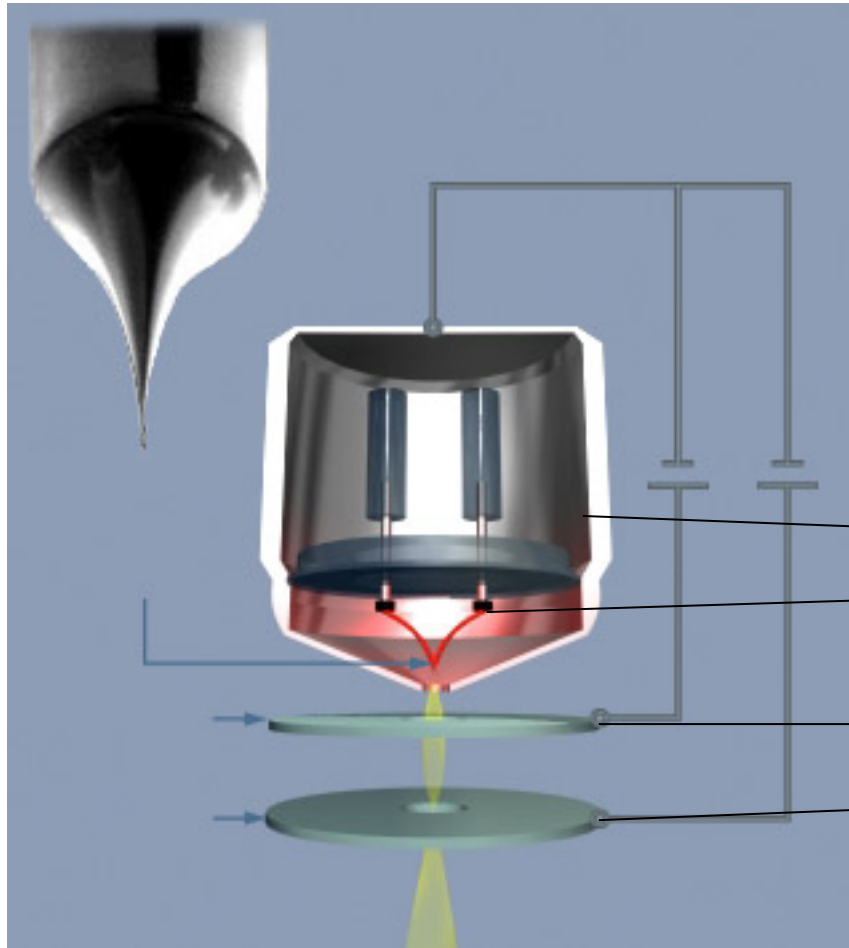
# Generation of Electrones: $\text{LaB}_6$



High beam current

=> Analysis

# Generation of Electrones: Field Emission



„Hot field emission“,

Schottky-cathode

=> High resolution

=> High beam density  
"brilliance "

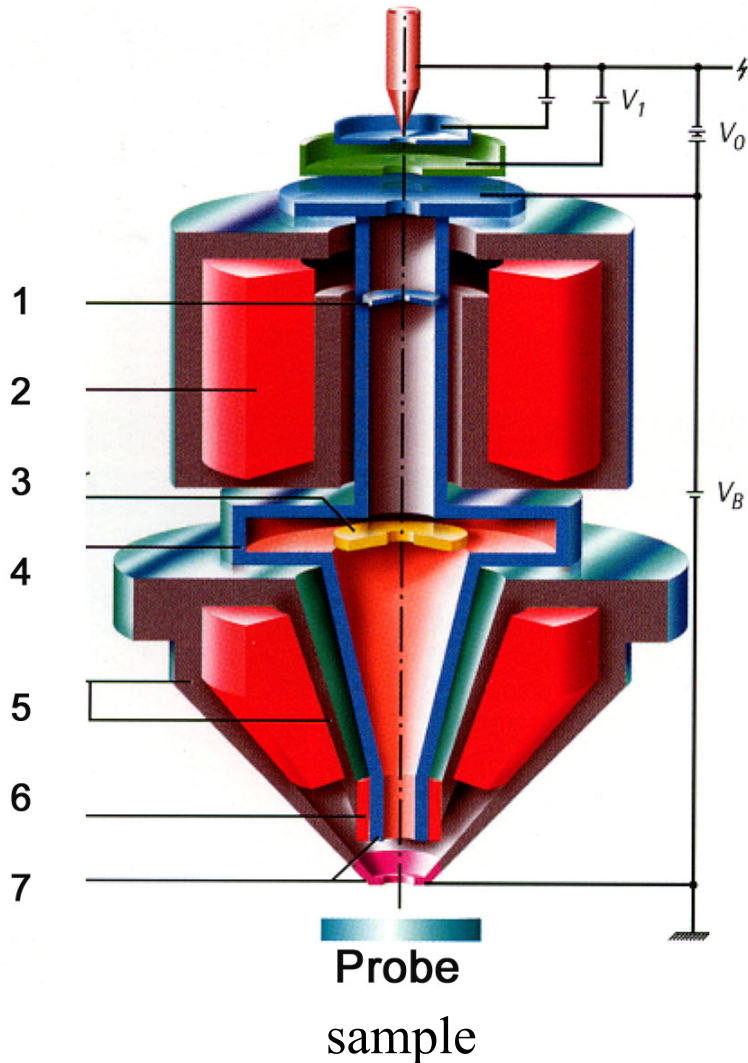
Wehnelt-cylinder

Cathode

Anode 1 "Extractor"

Anode 2

# Field Emission Scanning Electron Microscope



(1) Aperture diaphragm

(2) Field lenses

(3) Inlense SE-detector

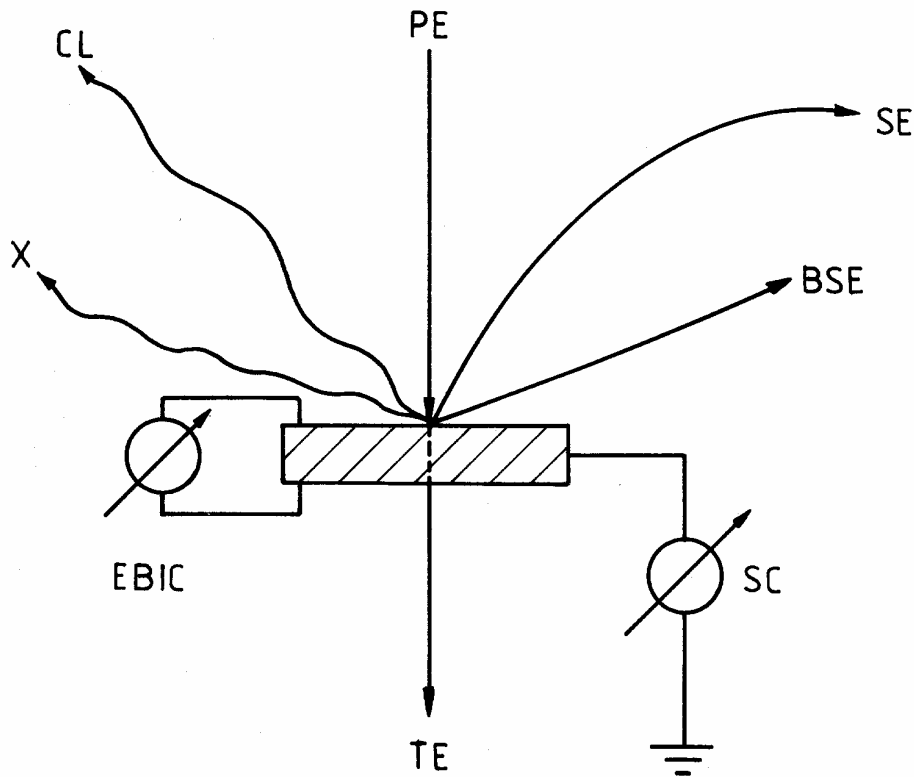
(4) Beam-Booster (blue)

(5) Magnetic lenses

(6) Deflection lenses

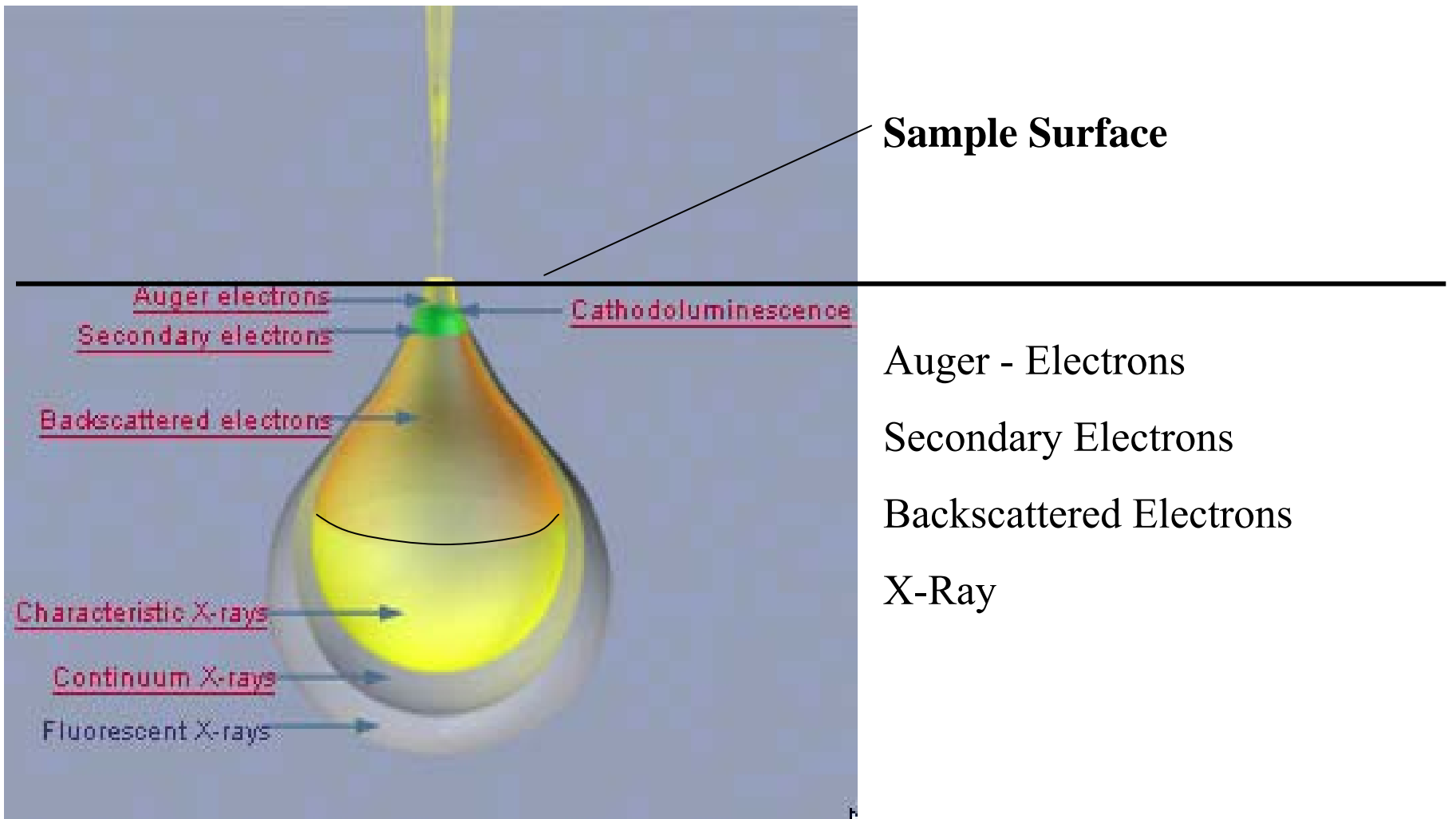
(7) Electrostatic lenses

# Interaction of Electrons With Matter

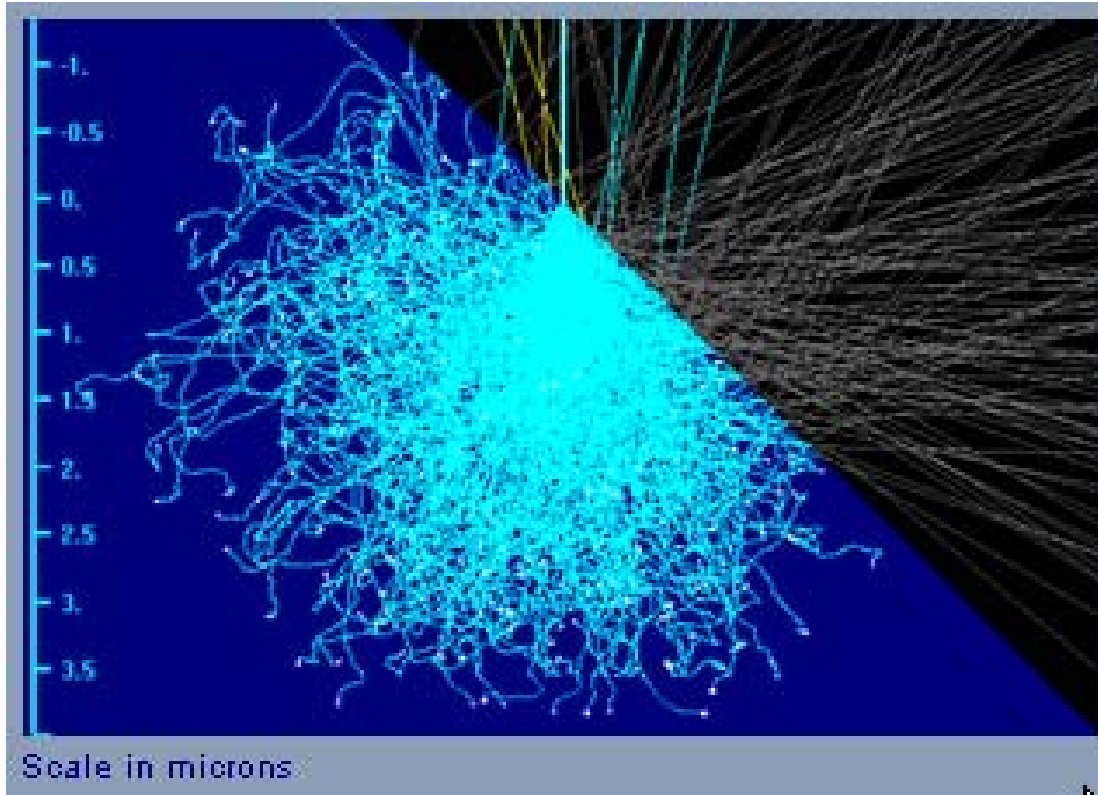


SE	Secondary Electrons
BSE	Backscattered Electrons
X	X-Rays
CL	Cathodoluminescence
EBIC	Electron Beam Induced Current
TE	Transmission
SC	Sample Current
+ Heat !	

# Different Depths of Signal



# Interaction Volume: "Expansion Pear"



(1) Si at 5 kV, 0° Tilt

(2) Si at 20 kV, 0° Tilt:  
more SE

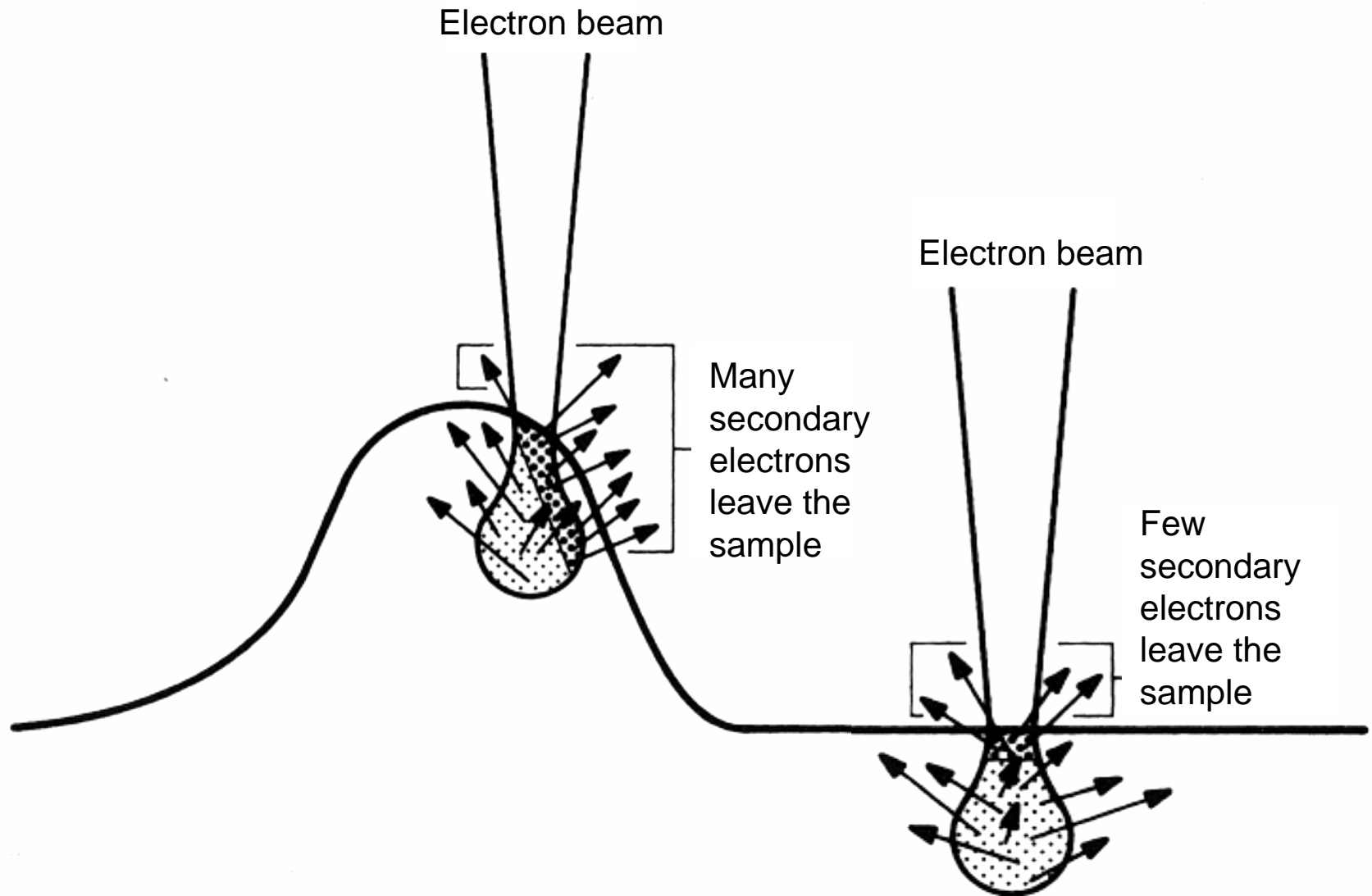
(3) Si at 20 kV, 45° Tilt:

Much more SE

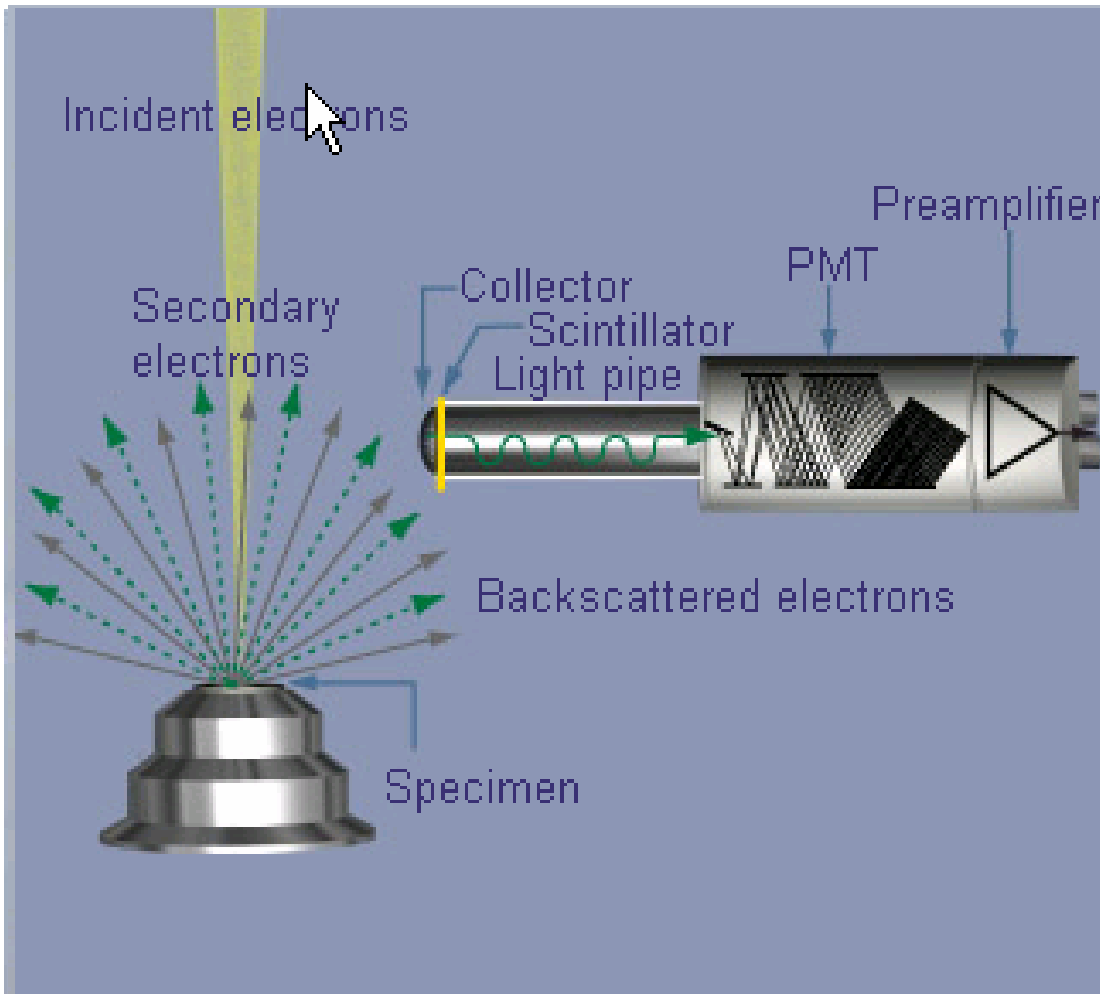
„Surface Tilt Contrast“

„Edge Contrast“

# Topographic contrast



# Secondary Electron, SE - Detection



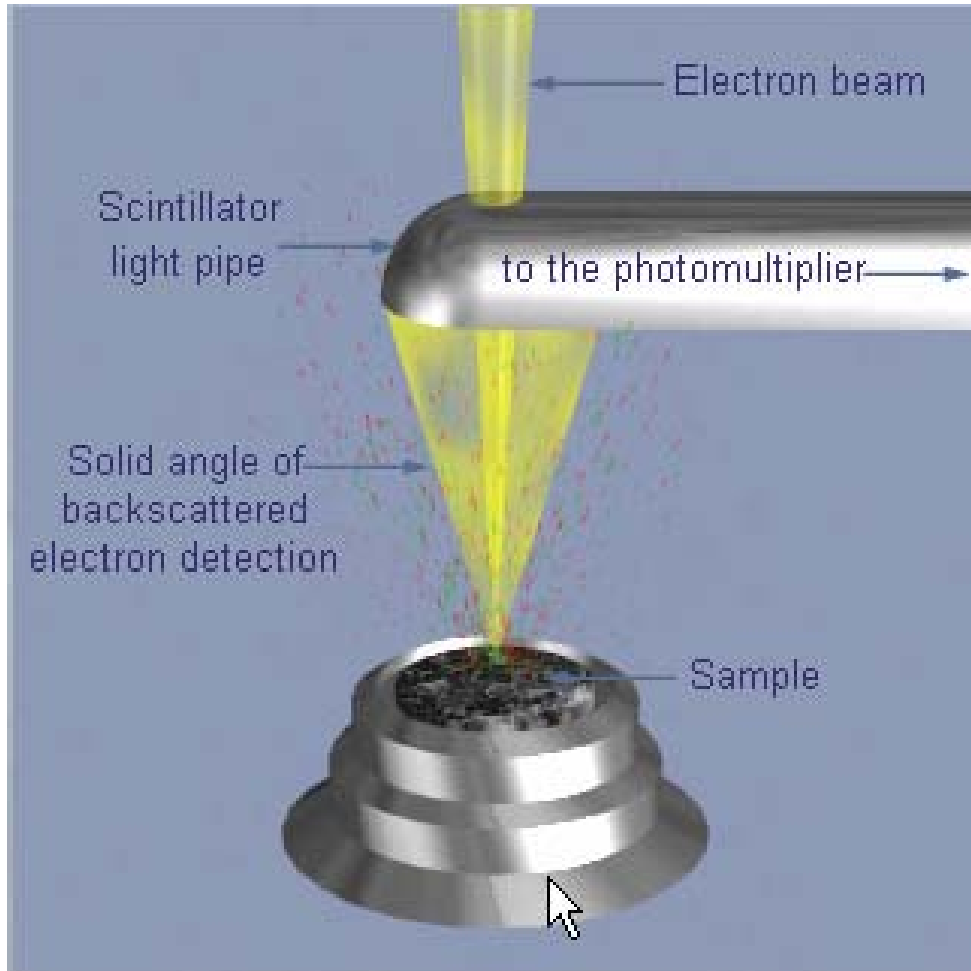
Secondary Electron Detector  
according to  
Everhart - Thornley

Negative voltage:

SE are rejected,

Only a few BSE reach the  
detector

# Back Scattered Electrons, BSE - Detection



BSE detector:

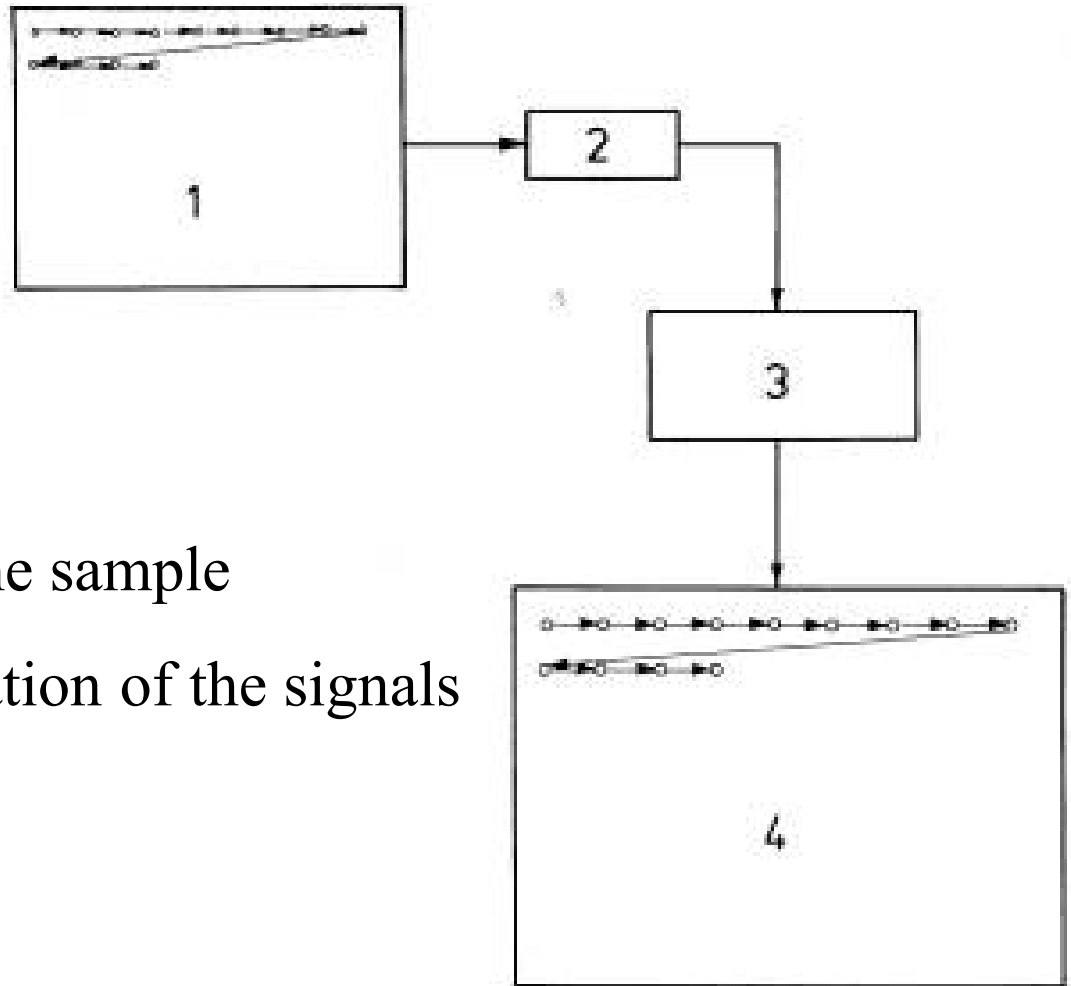
Mainly plane detector  
above the sample

Scintillating crystal, e.g.

"YAG"

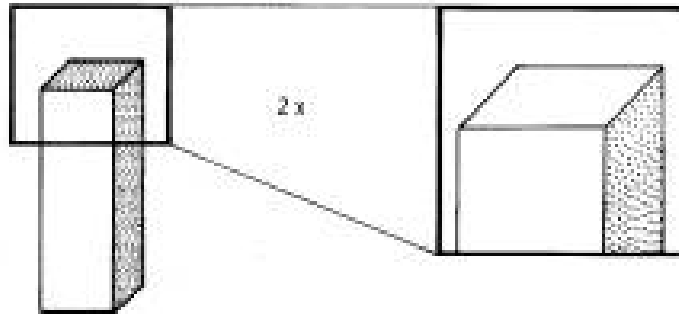
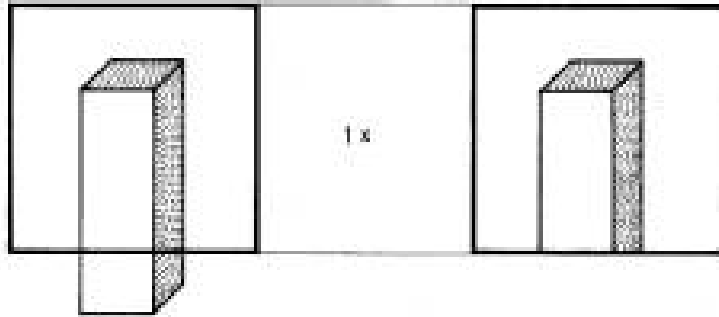
(Y-Al-Garnet)

# Image Generation: Principle



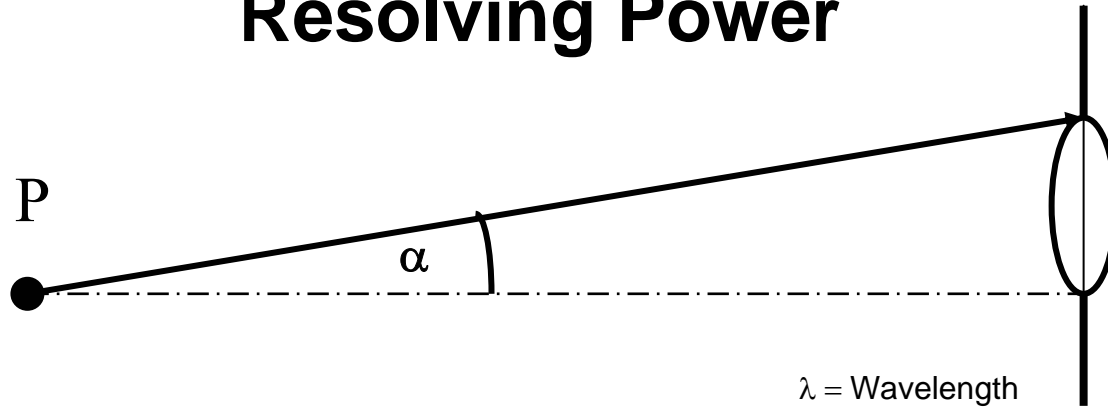
- (1) Pointwise scanning of the sample
- (2) Detection and magnification of the signals
- (3) Digital video processing
- (4) Illustration on monitor

# Image Generation: Magnification



- Reduction of the scanned surface while
- the number of measured points **remains constant**
- as well as the monitor size remains constant

# Resolving Power



$$s = \frac{\lambda}{n \cdot \sin \alpha} \quad \lambda = \frac{h \cdot c}{E}$$

$\lambda$  = Wavelength

$n$  = Refraction index

$\alpha$  = Half angle of aperture (Objective)

$n \cdot \sin \alpha = A$  = numerical aperture

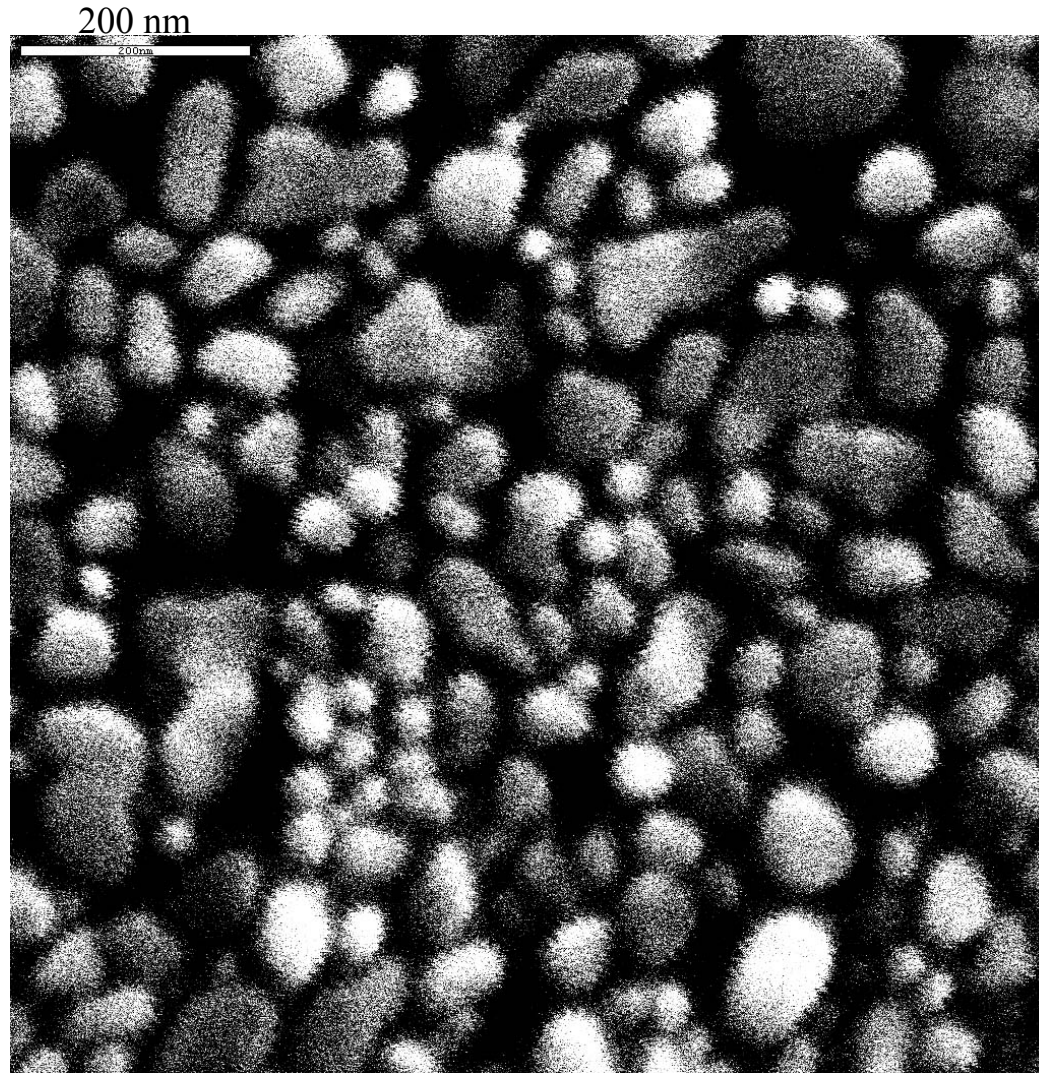
$E$  = Kinetic energy

$h$  = Planck's quantum of action

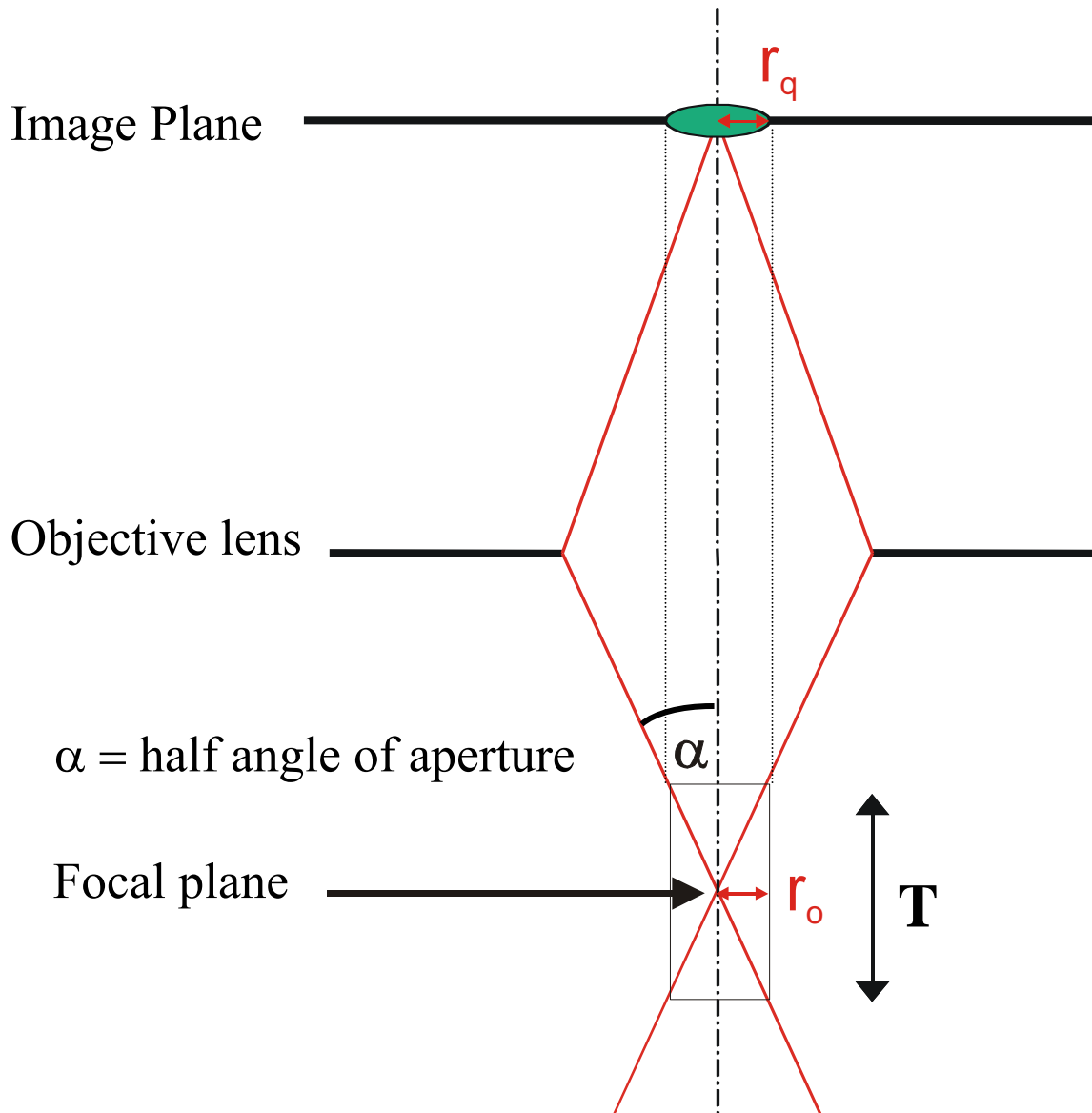
$c$  = Speed of light

	Visible light	Electron beam (30 KeV)
$\lambda$ [nm]	500 nm	0,007 nm
$A$	0,90	0,002
$s_{\min}$ [nm]	400 nm	3,5 nm

# High - Resolution: Au - Standard



# Depth of Sharpness T



Sharp image for  $r_o < r_q$

$$T = \frac{2 \cdot r_o}{\alpha}$$

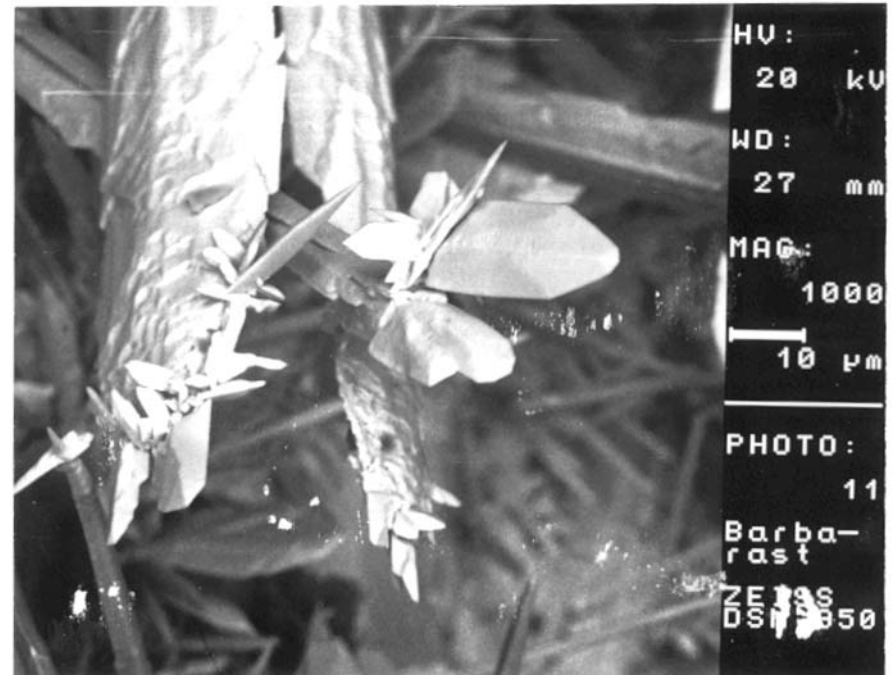
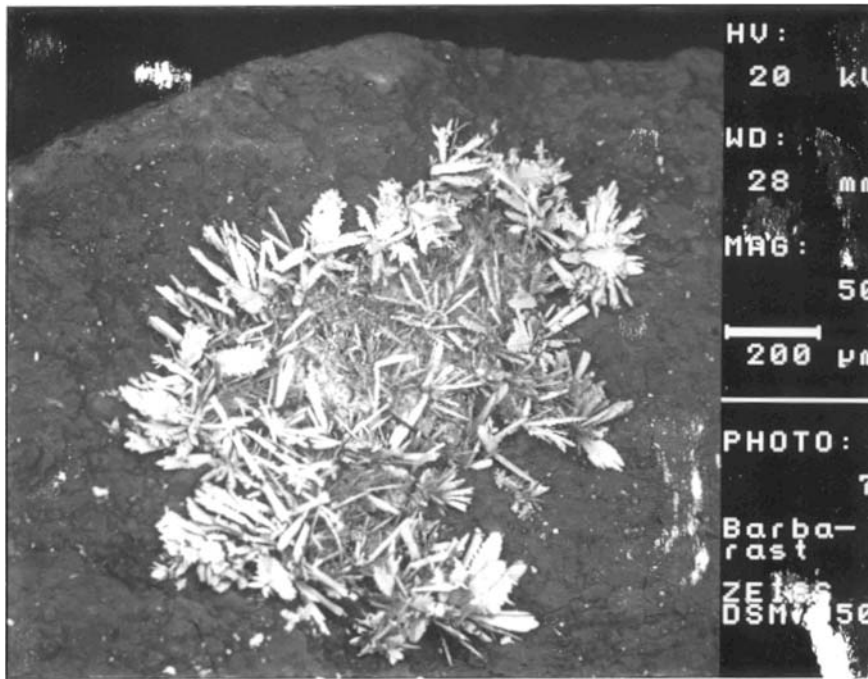
Magnification 1000-fold:

Light microscope:  $T = 0,8 \mu\text{m}$

SEM:  $T = 50 \mu\text{m}$

# Example of a SE Image with High Depth of Sharpness

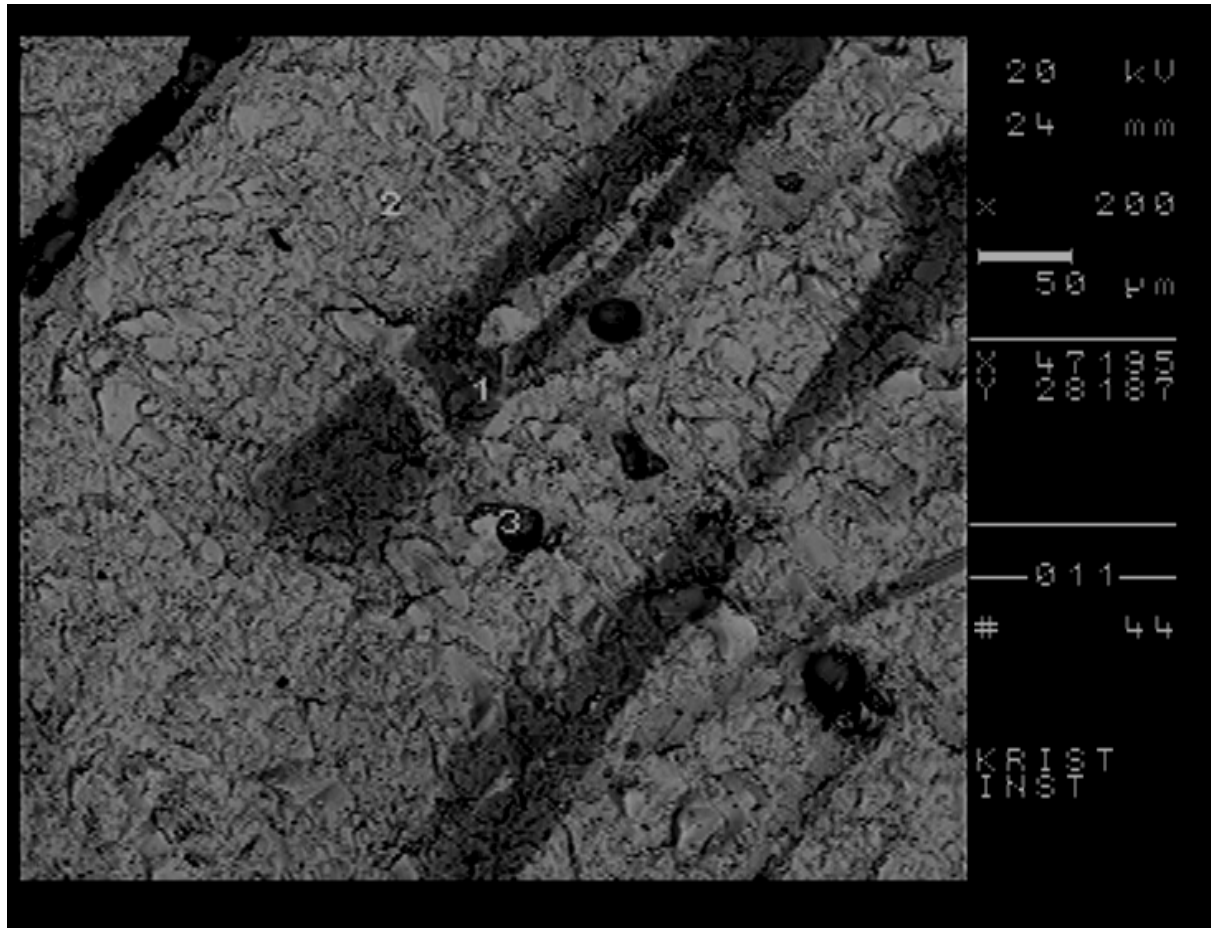
$C_{2h} - 2/m$  , monokline - prismatic  
Akanthit,  $Ag_2S$ , Barbarast



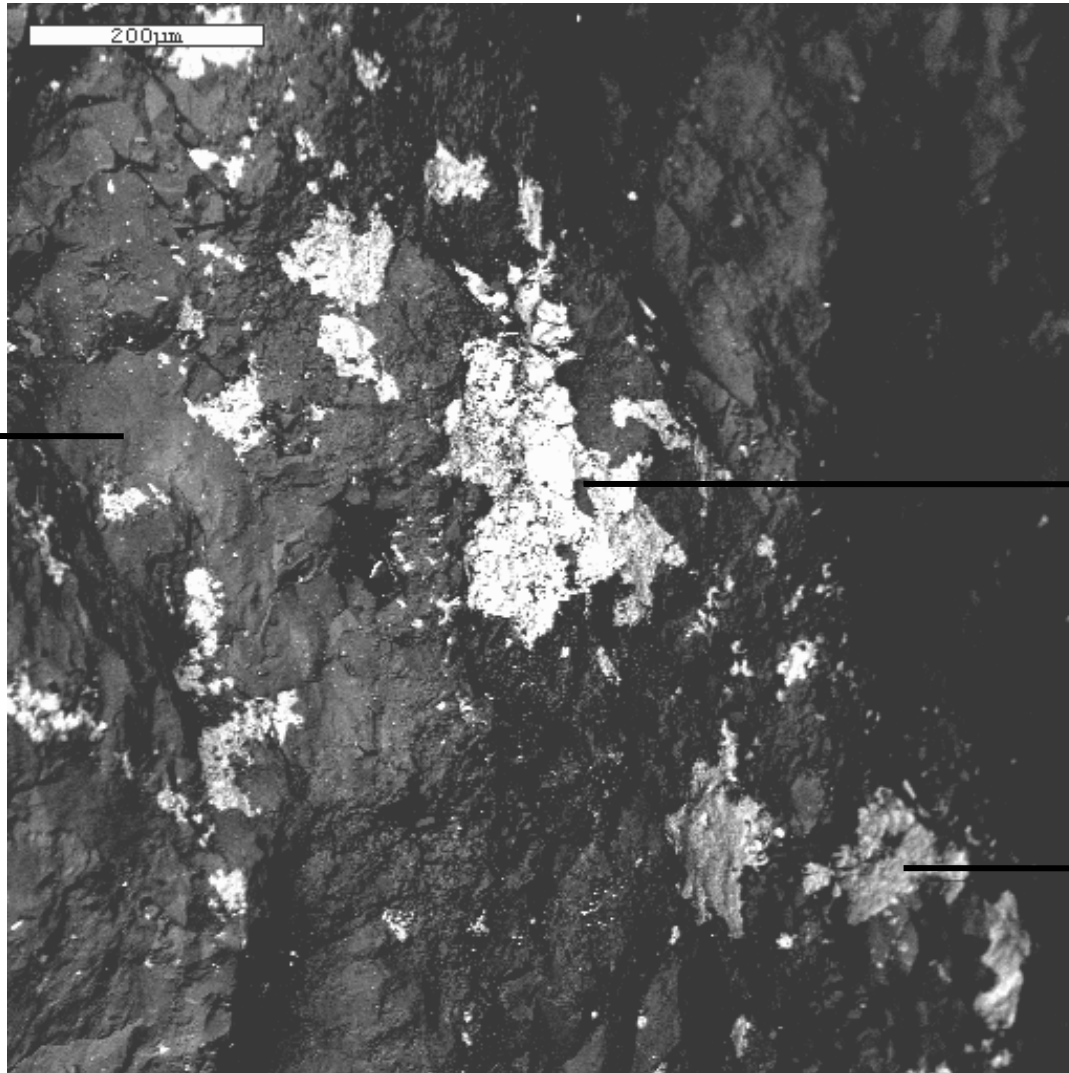
Low-temperature modification of  $Ag_2S$  ( $<179\text{ }^\circ C$ )

Collection Dany

# Exemplary BSE-Image with Material Contrast (Al,Ga)Sb - Crystals in (Ga,Al)Sb - Matrix



# Exemplary BSE-Image with Material Contrast Bismuth and Pyrite in Quartz



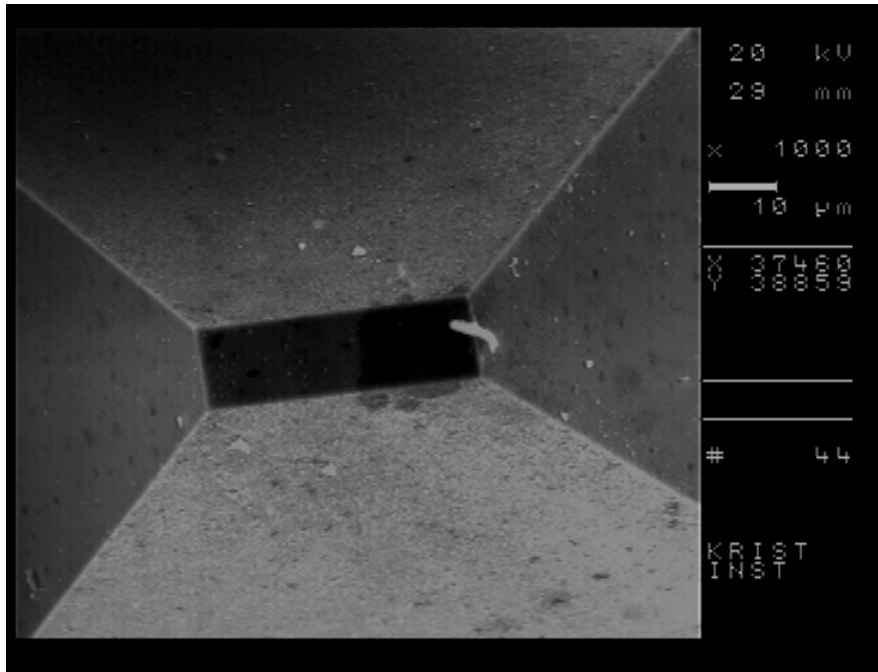
Quartz

Bismuth

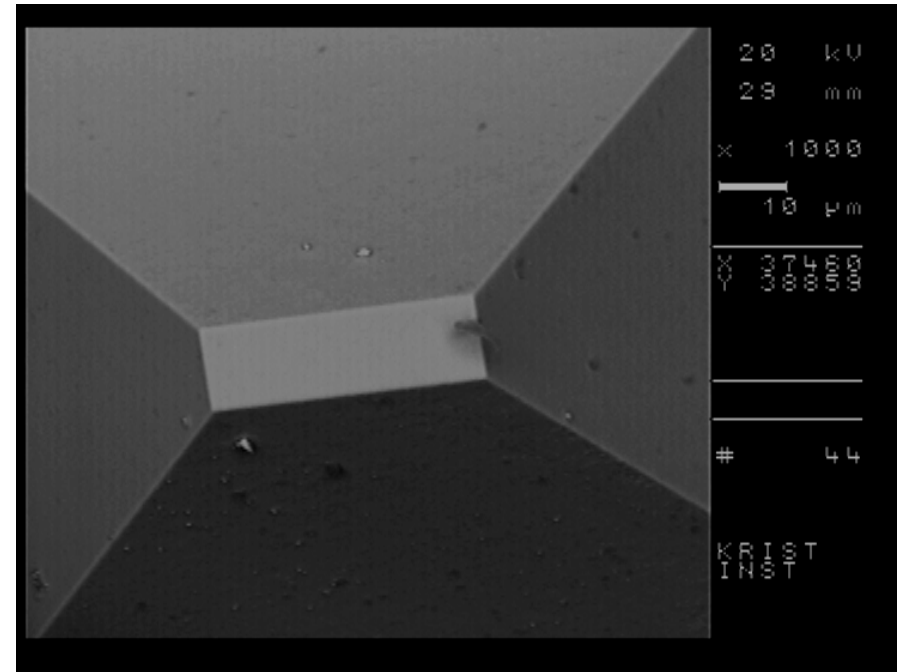
Pyrite

# Comparison of SE and BSE Image

SE



BSE



GaAs: cubic,  $F - \bar{4}3m$ , Sphalerite - Structure,

Combination of (001), {111} and  $\{\bar{1}\bar{1}\bar{1}\}$

